

DETAILED ACTION

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Carlos Villamar on December 17, 2008.

The application has been amended as follows:

78. (Currently amended) A computer program product for distributing stock rights and including one or more computer readable executable instructions embedded on a computer readable medium and configured to cause one or more computer processors to perform the steps of:

monitoring by a business entity computer associated with a business entity an investment fund for venture capital and receiving by the business entity computer capital contribution information of an investor;

administering by a fund managing entity computer associated with a fund managing entity and coupled to the business entity computer matters and decisions relating to the investment fund, and sending and receiving by the fund managing entity computer capital contribution information to the business entity computer, wherein the capital contribution information is used for an investment in a portfolio entity;

wherein an investor computer associated with the investor receives from the business entity computer general participation interest information in the investment fund for the investor, as determined by the business entity computer, and

the fund managing entity computer interfacing with the business entity computer for calculating carried interest information in the investment fund for the fund managing entity;

determining by the business entity computer if the investor has provided at least a threshold capital contribution to the investment fund based on the capital contribution information of the investor, and if so to determining by the business entity computer stock rights in the business entity and providing by the business entity computer stock rights information based on the determined stock rights to the investor via the investor computer so that the investor can exercise the stock rights to become a shareholder in the business entity;

determining by the business entity computer for the business entity a portion of IPO shares that become available in the portfolio entity; and

determining by the business entity computer shareholders of the business entity that are entitled to purchase IPO shares among the portion of IPO shares secured by the business entity that become available in the portfolio entity.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lalita M. Hamilton whose telephone number is (571) 272-6743. The examiner can normally be reached on Tuesday-Thursday (6:30-2:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kalinowski Alexander can be reached on (571) 272-6771. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3691

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Lalita M Hamilton/
Primary Examiner, Art Unit 3691